

NFA Swaps Proficiency Requirements

Instructions for SPR Admins to Enroll in a Track

SPR Admins may also take NFA's Swaps Proficiency Requirements. Below are instructions on how SPR Admins can enroll themselves in the Long or Short Track. Note: SPR Admins **should not** upload themselves via .csv file and will not need an Enrollment Code. If you have questions, please contact information@nfa.futures.org.

NFA	
Log in	NFA Swaps Proficiency Requirements
Username Password Log in	NFA's Swaps Proficiency Requirements must be successfully completed by individuals registered as associated persons (AP) at futures commission merchants, introducing brokers, commodity pool operators and commodity trading advisors who engage in swaps activity subject to the CFTC's jurisdiction (swap designated APs) as well as individuals acting as APs at swap dealers. If you need assistance accessing the requirements, please contact <u>NFA's Information Center</u> .
Forgotten your username or password? Cookies must be enabled in your browser	View the <u>Privacy Policy</u> or <u>Terms of Use</u> . Create new account

1. Log into the NFA Swaps Proficiency Requirements System (https://nfa-swaps-proficiency-requirements. moonami.com).

Individu	IS FIORCIERCY Requirements
Diagon co	ng the following entires
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 If you If you 	men in a rack seek the rack beam. Ta Enrolment Code (lick on the Long Track if your enrollment code begins with an "L" and Short Track if it begins with an "S". Click here for Enrollment Code usage instru affiliated with an NFA Member, select a Track below to enroll. Visit NFA's FAQ's to determine which Track to take.
To view in	ins for completing the Swaps Proficiency Requirements, click here. If you have questions about your Track or Enrollment Code please contact appropriate firm personnel.
Swaps P	cy Requirements Administrators
 Click I 	information on enrolling individuals either by using the upload function or disseminating an Enrollment Code. Visit NFA's FAQs to determine which Track individuals should
Click here	inical requirements. If you need additional assistance, please contact NFA's Information Center, which is available Monday through Friday from 8:00 a.m. to 5:00 p.m. CT.
View the	Policy or Site Policy.
NFA S	35 Proficiency Requirements Tracks Proficiency Requirements - Long Track
Enter	

2. You will see both the Long and Short Track from the home page of the system. Click "Enter" below the Track for which you are enrolling.



5. You will be taken to a payment portal with a discount applied for SPR Admins. Click "Add to Cart".

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		NFA Swaps Proficier Requirements - Lon	icy × g Track
NEA	NFA Swaps Proficiency Requirements - Long Track \$100 ⁰⁰ Discours: SPR Admin Access - 100%	Code: Price: Discount Price:	Long Track \$100.00 \$0.00
Swaps Proficiency Requirements LONG TRACK		Sub-Total: Discount: Total: Proceed to chec	\$100.00 \$100.00 \$0.00

6. You will see a red badge indicating you have one item in your cart in the top-right of the screen. Click the badge to expand your cart. Then click "Proceed to checkout".

Home Help - Return to NFA Website		
Checking Out With IntelliCart		
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		\$10
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7. You'll be directed to a page named "Checking Out With IntelliCart". Your total cost should be \$0.00. Scroll to the bottom of this page and click "Proceed to checkout".



9. Click "Process Payment" on the Payment Methods screen.



10. You will see a message that you have been enrolled in NFA's Swaps Proficiency Requirements. You may access your enrolled Track from the home page under Enrolled Track or by using the link in the left navigation menu.