

NFA Swaps Proficiency Requirements

How to Enroll Using an Enrollment Code

If your firm has given you an enrollment code to enroll in NFA's Swaps Proficiency Requirements, please follow the instructions below. If you have questions, please contact information@nfa.futures.org.

Log in	NFA Swaps Proficiency Requirements
Username	NFA's Swaps Proficiency Requirements must be successfully completed by individuals registered as associated persons (AP) at futures commission merchants, introducing
Password	brokers, commodity pool operators and commodity trading advisors who engage in swaps activity subject to the CFTC's jurisdiction (swap designated APs) as well as individuals acting as APs at swap dealers. If you need assistance accessing the requirements, please contact <u>NFA's Information Center</u> .
Log in	View the Privacy Policy or Terms of Lise
Forgotten your username or password?	Create new account
Cookies must be enabled in your browser 🕐	

1. From the NFA's Swaps Proficiency Requirements System (https://nfa-swaps-proficiency-requirements. moonami.com) landing page, click "Create new account" to enroll.

New account	
Choose your username and p	assword
Username	
	The password must have at least 8 characters, at least 1 digit(s), at least 1 lower case letter(s), at least 1 upper case letter(s), at least 1 non-alphanumeric character(s) such as as *, -, or #
Password •	
More details	
Email address +	
Lines data cas	
Email (again) •	
First name •	
Last name •	
Required Information	
Last 4 SSN	
Date of Birth •	20 May V 2019 m
NFA ID	

2. Agree to the site and privacy policies. Then, you will be taken to the New Account page. Complete the required fields (marked with an asterisk) to open your account. You will receive an account confirmation via email.

Individuals Taking t Please select one of t I fyou are enrolled I fyou received an I fyou are not affill To view instructions f Swaps Proficiency R Click here for info Click here for technic View the Privacy Polic	the Swaps Proficiency Requirements the following options: d In a Track, select the Track below. I a Track, select the Track below. I a Track, select the Track below. I a Track, select the Track below to enroll. Visit NFA's FAQs to determine which Track if It begins with an "5". Click here for Enrollment Code usage instruction liated with an NFA Member, select a Track below to enroll. Visit NFA's FAQs to determine which Track to take. for completing the Swaps Proficiency Requirements, click here. If you have questions about your Track or Enrollment Code please contact appropriate firm personnel. Requirements Administrators ormation on enrolling individuals either by using the upload function or disseminating an Enrollment Code. Visit NFA's FAQs to determine which Track individuals should take cal requirements. If you need additional assistance, please contact NFA's Information Center, which is available Monday through Friday from 8:00 a.m. to 5:00 p.m. CT. in or Sins Palicy
Please select one of t • If you are enrollec • If you received an • If you are not affil To view instructions f Swaps Proficiency R • Click here for info Click here for technic View the Privacy Polic	the following options: d in a Track, select the Track below. E rorollment Code, click on the Long Track if your enrollment code begins with an "L" and Short Track if it begins with an "S". Click here for Enrollment Code usage instruction lilated with an NFA Member, select a Track below to enroll. Visit NFA's FAQs to determine which Track to take. for completing the Swaps Proficiency Requirements, click here. If you have questions about your Track or Enrollment Code please contact appropriate firm personnel. Requirements Administrators ormation on enrolling individuals either by using the upload function or disseminating an Enrollment Code. Visit NFA's FAQs to determine which Track individuals should take cal requirements. If you need additional assistance, please contact NFA's Information Center, which is available Monday through Friday from 8:00 a.m. to 5:00 p.m. CT. in or Sins Palicy
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View the Privacy Polic	Icy or Site Policy
	ici or site rolley.
NFA Swaps NFA Swaps Pro Enter	: Proficiency Requirements Tracks oficiency Requirements - Long Track

3. Once your account has been created, you will see both the Long and Short Track from the home page of the NFA Swaps Proficiency Requirements System.

NFA Sw	aps Proficiency Requirements - Short Track
Enter	

4. Click "Enter" below the Track for which you are enrolling. Note: Your Enrollment Code only works for the Track that your firm's Swaps Proficiency Requirements Administrator has assigned to you and is unique to your firm. If you have questions on your assigned track, contact you firm's Swaps Proficiency Requirements Administrator.



5. You will be taken to a payment portal. Click "Add to Cart".

	ि My IntelliCart		
ne Help * Return to NFA Website	NFA Swaps Proficiency Requirements - Short Trac	×	
	Code:	Short Track	
NFA	71100	3100.00	ments - short frack
Swans Draficioney Doguiromont	Sub-Total:	\$100.00	
Swaps Proficiency Requirement	Discount:	\$0.00	
SHORT TRACK	Total:	\$100.00	
SHORT TRACK	Total:	\$100.00	

6. You will see a red badge indicating you have one item in your cart in the top-right of the screen. Click the badge to expand your cart. Then click "Proceed to checkout".

		Swaps Proficiency Requirements SHORT TRACK	
		\$100.00	
sub-Total:		\$100.00 Remove	

7. You'll be directed to a page named "Checking Out With IntelliCart". Scroll to the bottom of this page and click "Add Enrollment Code". Then enter the Enrollment Code provided by your firm's NFA Swaps Proficiency Requirements Administrator. Click "Apply".

	Remove	
Sub-Total:		\$100.00
Discount:		\$100.00
Total:		\$0.00
Enrollment Codes		524-822-842-EUR ×
Add Enrollment Code 🕈 Proceed to checkout 🍞		

8. If you entered the Enrollment Code correctly, you will see it next to Enrollment Codes and your total cost will be \$0.00. Click "Proceed to checkout".

NFA.	E 0
Home Help - Return to NFA Website	
Checking Out With IntelliCart > Payment Methods	
	Cost: USD 0.00
	🐂 Process Payment

9. Click "Process Payment" on the Payment Methods screen.

Navigation Home © NFA Swaps Proficiency Requirements - Short	NFA.					
Track	Home Help + Return to NFA Website					
	Thank you. You have successfully enrolled in NFA's Swaps Proficiency Requirements.	×				
	NFA Swaps Proficiency Requirements					
	Individuals Taking the Swaps Proficiency Requirements					
	Please select one of the following options:					
	If you are enrolled in a Track, select the Track below.					
	• If you received an Enrollment Code, click on the Long Track If your enroliment code begins with an "L" and Short Track If it begins with an "S". Click here for Enroliment Code usage instructions.					
	 If you are not affiliated with an NFA Member, select a Track below to enroll. Visit NFA's FAQs to determine which Track to take. 					
	To view instructions for completing the Swaps Proficiency Requirements, click here. If you have questions about your Track or Enrollment Code please contact appropriate firm personnel.					
	Swaps Proficiency Requirements Administrators					
	Click here for information on enrolling individuals either by using the upload function or disseminating an Enrollment Code. Visit NFA's FAQs to determine which Track individuals should take.					
	Click here for technical requirements. If you need additional assistance, please contact NFA's Information Center, which is available Monday through Friday from 8:00 a.m. to 5:00 p.m. CT.					
	View the Privacy Policy or Site Policy.					

10. You will see a message that you have been enrolled in NFA's Swaps Proficiency Requirements. You may access your enrolled Track from the home page under Enrolled Track or by using the link in the left navigation menu.