

EXCHANGE RULEBOOKS AND MARKET LITERATURE

In addition to the official rulebooks of the exchanges, literature on trading futures, options on futures, and individual markets is helpful in preparing for the examination. Please contact individual exchanges in order to obtain pricing lists, publication catalogs and order forms. Various departments within each exchange may be of assistance: Public Relations, Marketing, Literature, Member Services and Education.

CME Group

www.cmegroup.com

ICE Futures U.S.

www.theice.com

Kansas City Board of Trade

www.kcbt.com

Minneapolis Grain Exchange

www.mgex.com

NASDAQ OMX Futures Exchange

<http://www.nasdaqtrader.com/Micro.aspx?id=PBOToverview>

OneChicago LLC Futures Exchange

<http://www.onechicago.com/>

CBOE Futures Exchange

<http://cfe.cboe.com/>

NYSE Liffe Futures Exchange

<http://www.nyse.com/futuresoptions/nyseliffe/1211983444453.html>

OTHER PUBLICATIONS

NATIONAL FUTURES ASSOCIATION

NFA MANUAL

The [NFA Manual](#) contains NFA's Articles of Incorporation, Bylaws, Compliance Rules, Code of Arbitration, Member Arbitration Rules, Financial Requirements, Registration Rules, and various interpretations of NFA rules and bylaws.

REGULATORY GUIDES

NFA publishes several booklets to help its Members meet their regulatory obligations. These include:

- [NFA Regulatory Requirements for FCMs, IBs, CPOs and CTAs](#)
- [A Guide to NFA Compliance Rule 2-29: Communications with the Public and Promotional Material](#)
- [Disclosure Document Guide](#)
- [Self-Examination Checklist for FCMs, IBs, CPOs and CTAs](#)

To order a copy of these publications or to obtain an NFA Publication List, contact NFA's Information Center at (312) 781-1410 or (800) 621-3570 or e-mail NFA's Information Center at information@nfa.futures.org.

THE COMMODITY FUTURES TRADING COMMISSION

The rules and regulations of the CFTC are encompassed in the **Commodity Exchange Act as Amended and Regulations Thereunder**. Updated periodically. Current editions may be ordered directly from the publisher:

Commerce Clearing House
4025 West Peterson Avenue
Chicago, Illinois 60646
(773) 583-8500
(800) 248-3248

Fee may be applicable

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