FILED

AUG - 8 2007

NATIONAL FUTURES ASSOCIATION
LEGAL DOCKETING

NATIONAL FUTURES ASSOCIATION
BEFORE THE
BUSINESS CONDUCT COMMITTEE

In the Matter of:	)	
	)	
FOREFRONT INVESTMENTS CORPORATION (NFA ID #334858),	)	NFA Case No. 07-BCC-011
	)	
Respondent.	)	

DECISION

Having reviewed all matters relevant to the Complaint issued by the Business Conduct Committee ("Committee") of National Futures Association ("NFA") in the above-captioned proceeding and the Offer of Settlement ("Offer") submitted by Forefront Investments Corporation ("Forefront") and having accepted the Offer, the Committee hereby issues the following Decision as to Forefront.

I

ALLEGED VIOLATIONS OF NFA REQUIREMENTS

On April 4, 2007, the Committee issued a Complaint against Forefront, a futures commission merchant and Forex Dealer Member. The Complaint charged Forefront with using misleading promotional material, in violation of NFA Compliance Rule 2-36(b)(1); failing to comply with NFA financial requirements and failing to file financial statements in a timely manner, in violation of NFA Financial Requirements Sections 1 and 11 and NFA Compliance Rules 2-10 and 2-36; failing to implement an adequate anti-money laundering program, in violation of NFA Compliance Rule 2-9(c); and failing to have a principal also registered as an associated person, in violation of NFA Bylaw 301(a).

II

OFFER OF SETTLEMENT

Forefront submitted an Offer in which, without admitting or denying the allegations made in the Complaint, it proposed to settle this case by agreeing to withdraw from NFA membership, effective on the date of the Decision accepting its Offer and, thereafter, to not reapply for NFA membership or act as a principal of an NFA Member.

III

PENALTY

Having considered the matter and having accepted the Offer submitted by Forefront, the Committee orders that Forefront withdraw from NFA membership, as of the effective date of this Decision, and, thereafter, never again reapply for NFA Membership or act as a principal of an NFA Member.

NATIONAL FUTURES ASSOCIATION
BUSINESS CONDUCT COMMITTEE

Dated: 8-8-07

By: _____
Chairperson

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AFFIDAVIT OF SERVICE

I, Nancy Miskovich-Paschen, on oath state that on August 8, 2007, I served copies of the attached Decision, by sending such copies in the United States mail, postage prepaid, certified mail, return receipt requested, and by regular mail, first-class delivery, in envelopes addressed as follows:

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and by hand delivery to:

Michael A. Piracci, Esq.
National Futures Association
200 West Madison Street
Suite 1600
Chicago, IL 60606

Nancy Miskovich-Paschen

Subscribed and sworn to before me
on this 8th day of August 2007.

Mary A. Patton
Notary Public

OFFICIAL SEAL
Mary A. Patton
Notary Public, State of Illinois
MY COMMISSION EXPIRES 7-17-09