UNITED STATES OF AMERICA
Before the
COMMODITY FUTURES TRADING COMMISSION

JORDAN ASSETS LTD. and WALLACE NEAL JORDAN v. NATIONAL FUTURES ASSOCIATION	:	CFTC Docket No. CRAA 09-01 ORDER OF SUMMARY AFFIRMANCE

Received C.F.T.C. 2009 DEC 17 PM 2:19

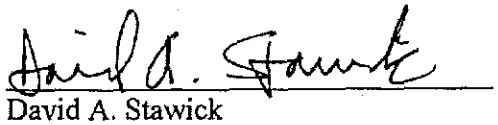
Our review of the record and parties' appellate submissions establishes that the findings and conclusions of the National Futures Association ("NFA") are supported by the weight of the evidence; we therefore adopt them. We further conclude that NFA committed no error material to the outcome of this proceeding and that the parties generally have not raised important questions of law or policy that merit extended discussion. Pursuant to Section 17(i)(1)(A) of the Commodity Exchange Act and Commission Regulation 171.34(a), we find that respondents engaged in the acts and practices that NFA found them to have engaged in; that the acts and practices violated the rules specified by NFA; that the rules were applied in a manner consistent with the purposes of the Act; and that the sanctions NFA imposed are neither oppressive nor

excessive in light of the violations found having due regard for the public's interest.

Accordingly, we affirm the result of NFA's decision.[1]

IT IS SO ORDERED.

By the Commission (Chairman GENSLER and Commissioners DUNN, SOMMERS, CHILTON and O'MALIA).



David A. Stawick
Secretary of the Commission
Commodity Futures Trading Commission

Dated: December 17, 2009

[1] Pursuant to Commission Regulation 171.33(b), 17 C.F.R. § 171.33(b), neither the initial decision nor the Commission's order of summary affirmance shall serve as Commission precedent in other proceedings.