

## Changes to CPO Form PQR effective quarter ending December 31, 2015

In certain instances, a CPO will be able to add a pool to its Pool Filings Index, as long as the pool is already associated with the CPO and not exempt from filing the Form PQR.

**Pool Filings**

TEST FIRM12-31-2015NFA ID: 0328321

You can only select 'Not Operating' for a pool that has not commenced operations as of the date of this filing. If you believe this option should be available for a pool and it isn't, please contact NFA. Please note that if you are consolidating pools, you should only select this option if both of the pools have not yet operated.

End Date	Due Date	NFA ID	Entity Name	Form Type	Received Date	Status	Not Operating
<a href="#">12-31-2015</a>	02-29-2016	P109676	NEW POOL	PQR		Due in 62 days	

[Download Pools List](#)

If you are trying to file a PQR for a pool that operated during this quarter but is not listed in the above grid, please [click here](#). However, please note that pools that have a 4.13, 4.5 or 12-38 FOF exemption will not appear in this list and should not be added.

In Schedule A, CPOs now have the ability to enter a ceased date for a layer in the Rates of Return section.

**NEW POOL**12-31-2015NFA ID: P109676

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**To enter performance information for the pool, use the *Edit* button.**

**If the pool is divided into classes for reporting purposes add a layer for each class by using the *Add Layer* button and then use the *Edit* button.**

**Performance**

☒ P109676 - NEW POOL-(Edit) (Add Layer)

☐ 109738 - LAYER 2AND20-Performance Updated for DECEMBER 2015(Edit) (Add Layer) (Cease Layer)

Month	2015	2014	2013	2012	2011	2010	2009
Jan							
Feb							
March							
April	3.00%						
May	4.00%						
June	5.00%						
July							
August							
Sept							
Oct							
November							
Dec	4.00%						
Year							

Close Performance