

# NATIONAL FUTURES ASSOCIATION

## BALLOT

### AMENDMENT TO ARTICLE VII

The amendment to Article VII increases the number of CPO/CTA representatives from four to five, and requires that at least three representatives of CPOs or CTAs rank within the top 20 percent, one of which must rank within the top 5 percent of CPOs or CTAs reporting any funds under management allocated to futures and swaps (as defined in Article XVIII) on NFA Form PQR and NFA Form PR as of June 30 of the prior calendar year.

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**APPROVE**

☐

**DISAPPROVE**

#### Check Your Member Category:

☐  
☐

Contract Market  
FCM/LTM/IB

☐  
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CPO/CTA  
SD/MSP/RFED

**THIS BALLOT MUST BE MAILED TO GRANT THORNTON LLC IN THE PROVIDED PRE-ADDRESSED, POSTAGE PAID ENVELOPE.**

**THE BALLOT MUST BE RECEIVED BY GRANT THORNTON LLC NO LATER THAN JUNE 30, 2014.**