



NFA Customer Complaint Form

We strongly encourage investors to file their complaint using NFA's online File A Complaint Form at www.nfa.futures.org/basicnet/Complaint.aspx. Filing online is the most effective way for us to receive your complaint.

Another option for filing your complaint is to complete this printable complaint form and fax or mail it to us at the address noted at the end of the form.

If you have submitted your complaint online, please do not send duplicate copies by mail or fax, as this will only delay the time it takes us to respond to your complaint.

NFA ID Number: *

(Use NFA's BASIC system at www.nfa.futures.org/basicnet/Welcome.aspx to search for the ID number of a firm or individual)

Individual Name or Firm Name: *

Describe the nature of your complaint. Please include as much information as you can, including the type of product involved, the dates the problem occurred, the name, address and telephone number (if you know these) of all individuals and firms involved and a description of the problem (please attach a separate page if you need more space than provided):

Your Name:

Your Email Address:

Your State/Province of Residence:

Your Country of Residence:

Your Phone Number:

Please print the completed form and mail or fax it to:

National Futures Association
Compliance Department - Customer Complaints
300 South Riverside Plaza, Suite 1800
Chicago, IL 60606
Fax: 312-559-3599

If you are interested in attempting to recover your investment and would like more information about NFA's Arbitration program, please visit the Arbitration and Mediation section of NFA's website (www.nfa.futures.org/NFA-arbitration-mediation/index.HTML).

*** Required Field**

NFA is the premier independent provider of efficient and innovative regulatory programs that safeguard the integrity of the futures markets.