



## ABOUT NFA

NFA is the industrywide, self-regulatory organization for the U.S. derivatives industry. Designated by the CFTC as a registered futures association, NFA strives every day to safeguard the integrity of the derivatives markets, protect investors and ensure Members meet their regulatory responsibilities.

## CONNECT WITH US

Investor Resources  
[www.nfa.futures.org/investors](http://www.nfa.futures.org/investors)



Follow us on Twitter  
**@NFA\_News**



Subscribe to our feeds



Sign up to receive  
email communications

### NFA'S INFORMATION CENTER

312-781-1410 or 800-621-3570  
[information@nfa.futures.org](mailto:information@nfa.futures.org)

#### CHICAGO

300 S. Riverside Plaza, #1800  
Chicago, IL 60606  
312-781-1300

#### NEW YORK

One New York Plaza, #4300  
New York, NY 10004  
212-608-8660

*Investor protection  
begins with  
investor education.*



# NFA

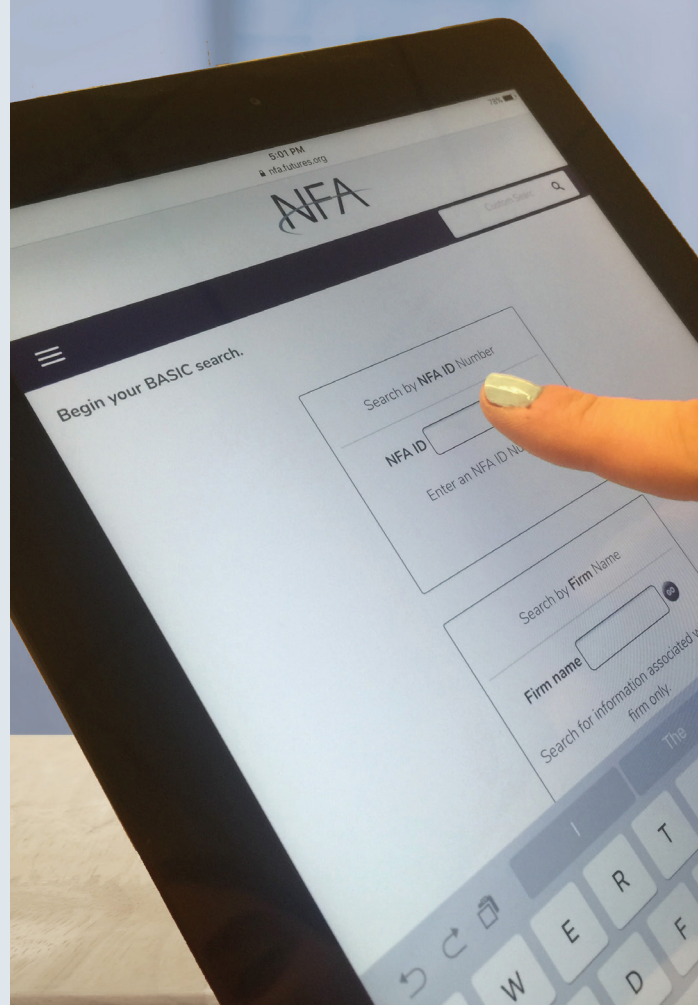
## INVESTOR RESOURCES

Investor protection begins with investor education. Before participating in the futures or retail forex markets, investors should learn how the markets work and about the firms and individuals with whom they are doing business. NFA offers a variety of resources to help investors perform due diligence prior to making any investment decisions, including:

- » online learning programs;
- » NFA's Investor Newsletter;
- » BASIC; and
- » investor publications.

You can find the full online version of these resources by visiting NFA's Investor page:

**[www.nfa.futures.org/investors](http://www.nfa.futures.org/investors)**



## INVESTOR PUBLICATIONS

Listed below is an overview of the investor publications available on NFA's website:

**An Introduction to NFA** highlights how NFA protects and educates investors, safeguards the integrity of the derivatives markets, and fights fraud and abuse.

**Opportunity and Risk: *An Educational Guide to Trading Futures and Options on Futures*** covers how futures and options on futures contracts are traded and the various ways investors can participate in the futures markets.

**Trading Forex: *What Investors Need to Know*** provides investors with an introduction to the retail off-exchange foreign currency (forex) market, how the market is regulated, and the risks involved in trading forex.

**Background Affiliation Status Information Center (BASIC): *An Information Resource for the Investing Public*** explains how to use BASIC to get important background information about futures and forex firms and salespeople.

**Scams and Swindles: *An Educational Guide to Avoiding Investment Fraud*** describes common investment scams and how to avoid them.

## ONLINE LEARNING PROGRAMS

NFA's online learning programs help investors understand the basics of trading futures, options on futures and foreign currency.

## INVESTOR NEWSLETTER

NFA issues a quarterly newsletter in an effort to keep investors up-to-date on recent initiatives and helpful resources.

## BASIC

Get important background information about firms and industry professionals in the derivatives industry. Whether you are an investor thinking about opening an account or an NFA Member contemplating a new business relationship, BASIC can be a valuable resource for you.