

## **PART 4—COMMODITY POOL OPERATORS AND COMMODITY TRADING ADVISORS**

[§ 4.5 Exclusion for certain otherwise regulated persons from the definition of the term “commodity pool operator.”](#)

[§ 4.7 Exemption from certain part 4 requirements for commodity pool operators with respect to offerings to qualified eligible persons and for commodity trading advisors with respect to advising qualified eligible persons.](#)

[§ 4.12 Exemption from provisions of part 4.](#)

[§ 4.13 Exemption from registration as a commodity pool operator.](#)

[§ 4.14 Exemption from registration as a commodity trading advisor.](#)

[§ 4.23 Recordkeeping.](#)

[18-96 Offshore Commodity Pools\\*](#)

**\*Note: NFA's address listed in the CFTC advisory is incorrect. The correct address is: National Futures Association (Attn: Director of Compliance, Compliance Department), 300 South Riverside Plaza, Suite 1800, Chicago, Illinois, 60606.**