



**FCM ASSESSMENT FEE SUMMARY REPORT**  
**MONTH ENDED \_\_\_\_\_**

<b>NFA ID _____</b>	<b>VOLUME OF TRANSACTIONS</b>	<b>FEES (\$)</b>
<b>DOMESTIC TRADES</b>		
<b>A) FUTURES CONTRACTS TRADED ON U.S. EXCHANGES (ROUND-TURNS, EXCLUDING SECURITY FUTURES PRODUCTS)</b>	_____	_____
<b>B) SECURITY FUTURES CONTRACTS TRADED ON U.S. EXCHANGES (ROUND-TURNS)</b>	_____	_____
<b>C) OPTIONS TRADED ON U.S. EXCHANGES (NUMBER OF TRADES)</b>	_____	_____
<b>FOREIGN TRADES</b>		
<b>D) FUTURES CONTRACTS TRADED ON FOREIGN EXCHANGES FOR U.S. CUSTOMERS (ROUND-TURNS)</b>	_____	_____
<b>E) OPTIONS CONTRACTS TRADED ON FOREIGN EXCHANGES FOR U.S. CUSTOMERS (NUMBER OF TRADES)</b>	_____	_____
<b>F) TOTAL NFA ASSESSMENT FEE DUE WITHIN 30 DAYS AFTER THE END OF THE MONTH</b>	_____	_____
<b>G) LIST FCM REMITTING FEES ON YOUR BEHALF</b>	_____	

IF YOUR FIRM HAS NO ASSESSMENT FEES TO SUBMIT FOR THE MONTH, PLEASE INDICATE ZERO VOLUME AND FEES ABOVE. THEN SIMPLY SIGN AND RETURN THIS FORM BY THE DUE DATE.

I CERTIFY THE ABOVE INFORMATION IS TRUE AND CORRECT.

\_\_\_\_\_  
AUTHORIZED SIGNATURE

\_\_\_\_\_  
DATE

KINDLY SEND A COPY OF THIS REPORT ALONG WITH YOUR REMITTANCE TO:  
NFA, BOX 98383, CHICAGO, ILLINOIS 60693-0001, ATTN: TREASURER'S OFFICE

IF YOU ARE WIRING FUNDS OR HAVE NO VOLUME FOR THE MONTH, RETURN THE COMPLETED FORM VIA EMAIL TO MITCH KOZLOWSKI AT MKOZLOWSKI@NFA.FUTURES.ORG