



FCM ASSESSMENT FEE SUMMARY REPORT

MONTH ENDED _____

NFA ID _____	VOLUME OF TRANSACTIONS NON-ASSESSED**	VOLUME OF TRANSACTIONS ASSESSED ONLY	FEES (\$)
DOMESTIC TRADES			
A) FUTURES CONTRACTS TRADED ON U.S. EXCHANGES (ROUND-TURNS)	_____	_____	_____
B) SECURITY FUTURES CONTRACTS TRADED ON U.S. EXCHANGES (ROUND-TURNS)	_____	_____	_____
C) OPTIONS TRADED ON U.S. EXCHANGES (NUMBER OF TRADES)	_____	_____	_____
FOREIGN TRADES			
D) FUTURES CONTRACTS TRADED ON FOREIGN EXCHANGES FOR U.S. CUSTOMERS (ROUND-TURNS)	_____	_____	_____
E) OPTIONS CONTRACTS TRADED ON FOREIGN EXCHANGES FOR U.S. CUSTOMERS (NUMBER OF TRADES)	_____	_____	_____
F) TOTAL FCM ASSESSMENT FEE DUE MM/DD/YY	_____	_____	_____
G) LIST FCM REMITTING FEES ON BEHALF OF THIS ENTITY	_____		

IF YOUR FIRM HAS NO ASSESSMENT FEES TO SUBMIT FOR THE MONTH, PLEASE INDICATE ZERO VOLUME AND FEES ABOVE. THEN SIMPLY SIGN AND RETURN THIS FORM BY THE DUE DATE.

I CERTIFY THE ABOVE INFORMATION IS TRUE AND CORRECT.

AUTHORIZED SIGNATURE

DATE

SEND A COPY OF THIS REPORT ALONG WITH YOUR REMITTANCE TO:
NFA, P.O. BOX 98383, CHICAGO, ILLINOIS 60693-0001, ATTN: TREASURER'S OFFICE

IF YOU ARE WIRING FUNDS OR HAVE NO VOLUME FOR THE MONTH, RETURN THE COMPLETED FORM VIA EMAIL TO MITCH KOZLOWSKI AT MKOZLOWSKI@NFA.FUTURES.ORG

** FCM NON-ASSESSED VOLUME WOULD INCLUDE ALL VOLUME THAT IS NOT SUBJECT TO THE NFA ASSESSMENT FEE. IT WOULD INCLUDE TRANSACTIONS OF CUSTOMERS EXEMPT FROM THE NFA ASSESSMENT FEE AS WELL AS NON-CUSTOMER AND PROPRIETARY TRADING ACTIVITY.