



**Member Regulatory Workshop Agenda  
New York, N.Y.  
February 27, 2019**

9:00 a.m. - 9:10 a.m.	<b>Welcome</b>	
9:10 a.m. - 10:15 a.m.	<b>Update on SD Examinations</b>	
10:15 a.m. - 10:30 a.m.	<b>Break</b>	
10:30 a.m. - 10:45 a.m.	<b>Recent NFA Updates &amp; Initiatives</b>	
10:45 a.m. - 11:00 a.m.	<b>BCC Process</b>	<b>Session 1</b>
11:00 a.m. - 11:15 a.m.	<b>Break</b>	
11:15 a.m. - 12:30 p.m.	<b>Cybersecurity Regulatory Update &amp; Panel</b>	
12:30 p.m. - 12:45 p.m.	<b>NFA Member Resources</b>	
12:45 p.m. - 12:55 p.m.	<b>Closing Remarks</b>	<b>Session 2</b>
12:55 p.m. - 1:10 p.m.	<b>Break</b>	
1:10 p.m. - 1:20 p.m.	<b>Welcome</b>	
1:20 p.m. - 2:05 p.m.	<b>NFA Updates &amp; Recent Examination Themes</b>	
2:05 p.m. - 2:20 p.m.	<b>Break</b>	
2:20 p.m. - 3:05 p.m.	<b>CPO Internal Controls</b>	
3:05 p.m. - 3:15 p.m.	<b>Closing Remarks</b>	<b>Session 3</b>

**Wifi Access Code: NFA2019**