

Changes to CPO Form PQR and CTA Form PR effective quarter ending June 30, 2015

1. Firms will now have access to active AND ended relationships in both the CPO Form PQR (PQR) and CTA Form PR (CPR) filings, by clicking on the text underneath any relationship table.

4. POOL THIRD PARTY ADMINISTRATORS

0810

a. Does the CPO use third party administrators for the Pool? Yes No

If "Yes," provide the following information for each third party administrator:

Name of Administrator	NFA ID	Address	Telephone	Start date	Preparation of Pool Financial Statements	Calculation of Pool's Performance	Maintenance of the Pool's books and records	Other
CPO ADMINISTRATOR COMPANY	0487389	300 S. RIVERSIDE PLAZA CHICAGO IL 60606 US	3127811300	3/1/2015	7410_377031 <input type="checkbox"/>	7411_377031 <input type="checkbox"/>	7412_377031 <input type="checkbox"/>	7413_377031 <input type="text"/>

Ensure that all active relationships are listed above as of the filing date. If you need to add, edit, or delete a relationship please [click here](#).

You will be taken to the screen below showing you all active and ended relationships. If you ended a relationship in error and would like to reinstate that relationship with the original start date, you will need to email NFA at the address noted below. If the relationship has started again, you will need to add the firm back and indicate a start date that is after the initial end date.

Manage Pool Third Party Administrators

NFA ID	Firm Name	Start Date	End Date		
0487389	CPO ADMINISTRATOR COMPANY	03/01/2015		Edit	Delete
0487388	MY BRAND NEW ADMINISTRATOR	01/01/2015	02/28/2015		
1					

Click [here](#) to add a relationship.

If a relationship was ended in error and you need to re-establish the relationship, please email PQRSupportInfo@nfa.futures.org.

[Back To Filing](#)


- In the PQR filing, a CPO will no longer be able to list itself as the trading manager for a pool it operates. The firm will receive an error and will not be able to submit the filing until the relationship is ended.

Summary of Errors and/or Warnings

Error	Error Type
CPO should not list itself as the trading manager of the pool.	Error

[Back To Filing](#)

- In the PQR filing, footing validations were added to the Schedule of Investments (Schedule B #6). The validations will ensure that the sum of the sub-categories is not greater than the applicable category. In the example below, the Futures balance (Box_6439) should not exceed the Total Derivatives balance (Box_6437).

[Previous](#)
[Save](#) 
[Next](#)

DERIVATIVES		Positive OTE	Negative OTE
?		6437 Itemized	6438 Itemized
Total Derivatives	→	200	0
?		6439 Itemized	6440 Itemized
Futures	→	250	0
		6441 Itemized	6442 Itemized
a. Indices		300	0
		6443 Itemized	6444 Itemized
i. Equity		0	0

Summary of Errors and/or Warnings

Error	Error Type
The sum of Derivatives (Positive OTE) sub-categories exceed the Total Derivatives (Positive OTE) BOX 6437.	Error
The sum of Derivatives: Futures (Positive OTE) sub-categories exceed the Total Derivatives: Futures (Positive OTE) BOX 6439.	Error

[Back To Filing](#)