

Minor change to the CPO Form PQR effective beginning with the quarter ending September 30, 2016

In Schedule A Part 2 Question 12, firms can now enter in separate boxes, the date of each participant disclosure regarding redemption halts, material limitations on redemptions, and the lifting of halts or limitations. Previously, the form only allowed for all disclosure dates to be entered in one box.

Schedule A Part 2

FIRM NAME	09-30-2016	NFA ID: Pool ID
← Previous	Save 	Next ▶

12. POOL SUBSCRIPTIONS AND REDEMPTIONS

Provide the following information concerning subscriptions to and redemptions from the Pool during the Reporting Period

e. Has the Pool imposed a halt or any other material limitation on redemptions during the Reporting Period?

3805 Yes No

If "Yes," provide the following information:

i. On what date was the halt or material limitation imposed?

3815






ii. If the halt or material limitation has been lifted, on what date was it lifted?


3820

iii. What disclosure was provided to participants to notify them that the halt or material limitation was being imposed? What disclosure was provided to participants to notify them that the halt or material limitation was being lifted?

3830

iv. On what date(s) was this disclosure provided?

Add 	Date
 	01-AUG-2016
 	15-SEP-2016

← Previous	Save 	Next ▶
----------------------------	--	--